

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT
APPLICATION**COMPLETION Under**
Rule 53(f)In re PATENT APPLICATION of
Inventor(s): Wouter Onno PRIL et al.

Attn: Application Division

Appln. No.: 09	899,566	Atty.Dkt. P	281484	P-0197.020-US
Series Code ↑	Serial No. ↑		M#	Client Ref

Filed: July 6, 2001

Title: LITHOGRAPHIC PROJECTION APPARATUS, DEVICE MANUFACTURING METHOD,
DEVICE MANUFACTURED THEREBY AND GAS COMPOSITIONHon. Commisioner of Patents
Washington, DC 20231

Date: October 22, 2001

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☐ Abstract
- b. # pages of Specification (only spec. & claims)
- c. ☐ Drawing(s) No of Sheets
- ☐ Fig(s).
4. ☐ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/297,432	13 June 2001	(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in EUROPE

Application No.	Filing Date	Application No.	Filing Date
(1) 00306022.5	14 July 2000	(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(f)

9. _____ (No.) Certified copy (copies): ☐ attached; ☒ previously filed (date) July 6, 2001
in U.S. Application No. 1 filed on _____
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code	
13. Basic Filing Fee				Design Application	\$330/\$165	106/26	
				Not Design Application	\$740/\$370	101/201	
14. Total Effective Claims	31	minus 20 =	11	x \$18/\$9	+198	103/203	
15. Independent Claims	6	minus 3 =	3	x \$84/\$42	+252	102/202	
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	+0	104/204	
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205	
18.				FILING FEE ENCLOSED = \$1320			
19. Original due date: October 23, 2001							
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	+0	115/215
				(2mos)	\$400/\$200 =		116/216
				(3mos)	\$920/\$460 =		117/217
				(4mos)	\$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139	
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+40	581	
23. Petition Fee for				\$130	+0		
24.				TOTAL FEE ENCLOSED = \$1360			

Our Deposit Account No. 03-3975

Our Order No. 81468

281484

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard
McLean, VA 22102
Tel: (703) 905-2000

By Atty: Jack S. BarufkaReg. No. 37087Sig: 

Fax: (703) 905-2500
Tel: (703) 905-2012

Atty/Sec: JSB/rsp

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/899,566	07/06/2001	Wouter O. Pril	P 0281484 P-0197.020 US

CONFIRMATION NO. 1633

FORMALITIES LETTER



OC000000006462989

Date Mailed: 08/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/24/2001 BABRAHA1 00000033 09899566

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

01 FC:101	710.00 OP
02 FC:103	198.00 OP
03 FC:102	240.00 OP
04 FC:105	130.00 OP
05 FC:199	42.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$438.
 - \$198 for 11 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1278.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/899,566	07/06/2001	1756	0.00	P 0281484 P-0197.020 US	2	31	6

00909
PILLSBURY WINTHROP LLP
1600 TYSONS BOULEVARD
MCLEAN, VA 22102



CONFIRMATION NO. 1633

FILING RECEIPT



OC000000006462988

Date Mailed: 08/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Wouter O. Pril, Eindhoven, NETHERLANDS;
Philip D. Henshaw, Carlisle, MA;
Engelbertus A.F. van de Pasch, Oirschot, NETHERLANDS;
Marcel H.M. Beems, Veldhoven, NETHERLANDS;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/297,432 06/13/2001

Foreign Applications

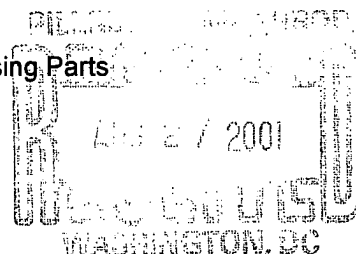
EUROPEAN PATENT OFFICE (EPO) 00306022.5 07/14/2000

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No



Title

Lithographic projection apparatus, device manufacturing method, device manufactured thereby and gas composition

Preliminary Class
430

Data entry by : HAILE, TSEHAI

Team : OIPE

Date: 08/23/2001



Receipt
PATENT 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

Inventor(s): PRIL et al.

Appln. No.: 09 | 899,566

Series Code ↑ | Serial No. ↑

Filed: July 6, 2001

ATTENTION: Office of Initial Patent Examination
Customer Service Center

Title: LITHOGRAPHIC PROJECTION APPARATUS, DEVICE, MANUFACTURING METHOD, DEVICE
MANUFACTURING THEREBY AND GAS COMPOSITION

Date: October 22, 2001

Asst. Commissioner of Patents
Washington, D.C. 202031

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is

☐ incorrectly entered

and/or

☒ omitted

Error in

Correct data

1. ☐ Name of the Third Inventor

1.

2. ☐ Applicant's Address

2.

3. ☐ Title

3.

4. ☐ Filing Date

4.

5. ☐ Serial Number

5.

6. ☐ Foreign/PCT Application

6.

7. ☒ Other Domestic Priority data

7. THIS APPLN CLAIMS BENEFIT OF 60/297,432
06/13/2001

3. The correction is not due to any error by applicants and no fee is due. However, It is understood that the PTO no longer charges for correcting filing receipts even when the error is applicants' mistake, but if that is incorrect and a fee is due for this correction please charge our Deposit Account No. 03-3975 under Order No. 81648/281484

**Pillsbury Winthrop LLP
Intellectual Property Group**

1600 Tysons Boulevard

By Atty: Jack S. Barufka

Reg. No. 37087

McLean, VA 22102

Tel: (703) 905-2000

Atty/Sec: JSB/rsp

Sig: [Signature]

Fax: (703) 905-2500

Tel: (703) 905-2012

(Attach Filing Receipt copy and PTO receipt PAT-103A)